

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 3015-003 10/539402
INTERNATIONAL APPLICATION NO. PCT/EP2003/014756	INTERNATIONAL FILING DATE 11 December 2003	PRIORITY DATE CLAIMED 20 December 2002
TITLE OF INVENTION NEUROPILIN-1 INHIBITORS		
APPLICANT(S) FOR DO/EO/US: <u>Christine Margarete Under; Gerald Beste; Carolin Zenetmeier; Bianca Lain; Claudia Forelia; Jens Niewohner; Daniel G. Jay; Brenda K. Eustace; Roland Knauer; Kristian Hobold Jensen</u>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (copy - unexecuted) 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <u>Copy of International Search Report and IPE Report</u> 		

The PTO did not receive the following listed item(s) a floppy disc that indreaded in the statement.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/539402		INTERNATIONAL APPLICATION NO. PCT/EP2003/014756		ATTORNEY'S DOCKET NUMBER 3915-003	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400	
TOTAL OF 21, 22 and 23 =				\$ 900	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
118 - 100 =	18 /50 =	1	x \$250	\$ 250	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	31 - 20 =	11	x \$ 50	\$ 550	
Independent claims	5 - 3 =	2	x \$200	\$ 400	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$ 360
TOTAL OF ABOVE CALCULATIONS =				\$ 2590	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				1295	
SUBTOTAL =				\$ 1295	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
TOTAL NATIONAL FEE =				\$ 1295	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$ 1295	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-1703</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO: Alexander C. Johnson, Jr. Marger Johnson & McCollom, P.C. 1030 SW Morrison Street Portland, Oregon 97205</p>					
				SIGNATURE	
				Alexander C. Johnson, Jr.	
				NAME	
				29,396	
				REGISTRATION NUMBER	

10/539402

PATENT APPLICATION

Attorney's Do. No. 3915-003

J620 Rec'd PCT/PTO 17 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Christine Margarete Unger;
 Gerald Beste; Carolin Zehetmeier;
 Blanca Lain; Claudia Torella;
 Jens Niewöhner; Daniel G. Jay;
 Brenda K. Eustace; Roland Knauer;
 Kristian Hobold Jensen

Serial No.

Filed:

For: NEUROPILIN-1 INHIBITORS

Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

Preliminary to examination and before calculating the filing fees, please enter the attached
 preliminary amendment.

The fee has been calculated as shown below.

CLAIMS AS AMENDED					
For:	Number After Amendment	Previous Number	Extra	Rate	Additional Fee
Total Claims	31	*20	11	x \$25 =	\$275
Independent Claims	5	**3	2	x \$100 =	\$200
First Presentation of Multiple Dependent Claims					\$180
TOTAL ADDITIONAL FEE					\$655

*greater of twenty (20) or number for which fee has been paid

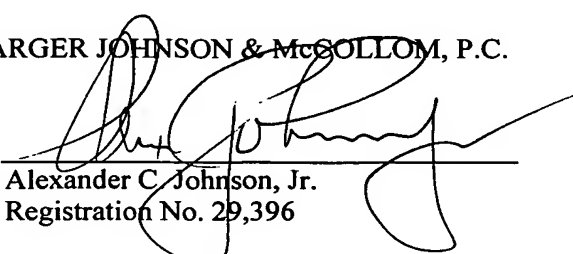
**greater if three (3) or number for which fee has been paid

- ☒ PTO Form 2038 authorizing credit card payment for the above-listed fees and filing fees for entry into national phase is attached
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

20575
 Customer No.

MARGER JOHNSON & McCOLLOM, P.C.

By 
 Alexander C. Johnson, Jr.
 Registration No. 29,396

1030 S.W. Morrison Street
 Portland, Oregon 97205
 Telephone: (503) 222-3613

10/539402
PATENT APPLICATION

Attorney's Do. No. 3915-003 (P10138USPC)

EXPRESS MAIL

MAILING LABEL NO. EV431684853US

DATE OF DEPOSIT: 17 JUNE 2005

I HEREBY CERTIFY THAT THIS PAPER AND ENCLOSURES AND/OR FEE ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 20231.

LAURA BENOIT
(SENDER'S PRINTED NAME)

Laura Benoit
(SIGNATURE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
U.S. RECEIVING OFFICE (RO/US)

In Re International Application No.
PCT/EP2003/014756

Filed 22 December 2003

Inventors:

**Christine Margarete Unger;
Gerald Beste; Carolin Zehetmeier;
Blanca Lain; Claudia Torella;
Jens Niewöhner; Daniel G. Jay;
Brenda K. Eustace; Roland Knauer;
Kristian Hobold Jensen**

Serial No.

Filed:

For: NEUROPILIN-1 INHIBITORS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmittal Letter

Enclosed for filing regarding the above-referenced International Application are the following:

- ☒ Transmittal letter to U.S. Designated Office (DO/EO/US) concerning filing Under 35 U.S.C. 371 (PTO-1390)
- ☒ Applicant claims small entity status (see 37 CFR 1.27)
- ☒ Filing (\$150); Search (\$200); Examination (\$100) fees
- ☒ Surcharge (\$65) fee

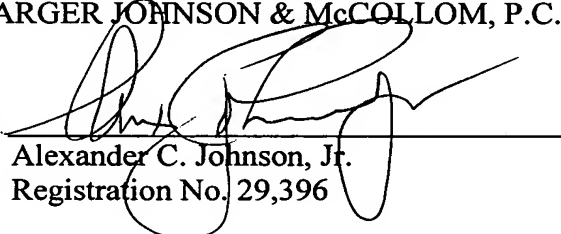
- ☒ Additional charge for specification and drawings over 100 sheets (118-100=18 x \$125) (\$125)
- ☒ Additional claim fees (\$655)
- ☒ Preliminary amendment—IT IS REQUESTED THAT THE PRELIMINARY AMENDMENT BE ENTERED BEFORE THE FILING FEES ARE CALCULATED
- ☒ Transmittal Letter accompanying Floppy Disc submitting Sequence Listing including communications from the EP/RO and response thereto
- ☒ Floppy disk containing Sequence Listing in computer-readable form
- ☒ Published PCT Application: WO 2004/056874: Specification (pages 1-71); claims (pages 72-75); abstract
- ☒ 43 sheets of drawings as published (FIGS. 1-19)
- ☒ Copy of unexecuted Declaration
- ☒ International Search Report
- ☒ IPE Report
- ☒ PTO Form 2038 authorizing credit card payment of \$1,295 for the above-listed fees
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

20575
Customer No.

MARGER JOHNSON & McCOLLOM, P.C.

By


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cc: Dr. Andrea Fleuchaus